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09/941370
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UTILITY PATENT APPLICATION TRANSMITTAL For non-provisional application under 37 CFR 1.53(b)	Attorney Docket: 1363D/DA01036-D
	Lead Inventor: Fang, et al.
	Title: Flash Memory Device and a Method of Fabrication Thereof
	Express Mail No.: EL815289625US

APPLICATION ELEMENTS	Address to: Assistant Commissioner for PATENTS Box Patent Applications Washington, D.C. 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form PTO/SB/17</p> <p>2. <input checked="" type="checkbox"/> Specification: Seven (7) pages; <input checked="" type="checkbox"/> Claims: Three (3) pages; <input checked="" type="checkbox"/> Abstract: One (1) page</p> <p>3. <input checked="" type="checkbox"/> Drawings: Four (4) sheets;</p> <p>4. <input checked="" type="checkbox"/> Declaration by Inventors: Three (3) pages; a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from Prior Application: 37 CFR 1.63(d)(2) (for Continuation/Divisional: Box 16 completed below) i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in Prior Application - 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>5. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p>	<p>ACCOMPANYING APPLICATION PARTS</p> <p>7. <input type="checkbox"/> Assignment and Recordation Cover</p> <p>8. <input type="checkbox"/> Statement by Assignee (37 CFR 3.73(b)) <input type="checkbox"/> Power of Attorney</p> <p>9. <input type="checkbox"/> English Translation (<i>if applicable</i>)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO Form 1449 <input type="checkbox"/> References</p> <p>11. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (<i>itemized</i>)</p> <p>13. <input type="checkbox"/> Small Entity Status Declaration <input type="checkbox"/> Statement filed in Prior Application, status still proper and desired</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>15. Other: <u>Petition for Extension of Time;</u> <u>Fee Payment for Extension of Time.</u></p> <p>16. <u>Request and Certification Under 35 USC</u> <u>122(b)(2)(B)(i) (for Non-Publication)</u></p>

16. **Continuing Application** (check appropriate box and supply requisite information below and in a Preliminary Amendment) :

☐ Continuation ☒ Divisional ☐ Continuation-in-Part (CIP) of Prior Application No: 09/595,422

Prior Application information: Examiner Booth, R. Group Art Unit: 2812 Filing Date: June 15, 2000

For CONTINUATION or DIVISIONAL application only: The entire disclosure of the prior application, from which an Oath or Declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS


Firm: SAWYER LAW GROUP LLP	Telephone: (650) 493-4540
Address: P.O. Box 51418	Facsimile: (650) 493-4549
City/State/Zip: Palo Alto, California 94303	

 Joseph A. Sawyer, Jr., Reg. No. 30,801 Attorney for Applicant(s)	<u>8/28/01</u> Date
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FEE TRANSMITTAL Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1999. Small Entity payments <u>must</u> be supported by a Small Entity Statement, otherwise, large entity fees must be paid. See Forms PCT/SB/m9-12. See 37 CFR 1.27 and 1.28.		Serial No.:	To be assigned
		Filing Date:	August 28, 2001
		Inventor:	Fang, et al.
		Examiner:	To be assigned
		Group Art Unit:	To be assigned
TOTAL AMOUNT OF PAYMENT	\$710.00	Attorney Docket:	DA01036-D/1363D

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																								
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge all fees and credit any overpayment associated with this communication to: Deposit Account No: <u>01-0365</u> Name: <u>AMD Corporation</u> <input checked="" type="checkbox"/> Charge any additional fees required under 37 CFR 1.16 and 1.17.	3. ADDITIONAL FEES <table border="0"> <tr> <th>FEE DESCRIPTION</th> <th>Fee Paid</th> </tr> <tr><td>Surcharge late filing of fee or oath</td><td></td></tr> <tr><td>Surcharge late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>Non-English specification</td><td></td></tr> <tr><td>For filing a request for reexamination</td><td></td></tr> <tr><td>Requesting publication or SIR prior to Examiner Action</td><td></td></tr> <tr><td>Extension for reply within first month</td><td></td></tr> <tr><td>Extension for reply within second month</td><td>\$390.00</td></tr> <tr><td>Extension for reply within third month</td><td></td></tr> <tr><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>Notice of Appeal</td><td></td></tr> <tr><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>Request for oral hearing</td><td></td></tr> <tr><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>Design issue fee</td><td></td></tr> <tr><td>Plant issue fee</td><td></td></tr> <tr><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Submissions of Information Disclosure Statement</td><td></td></tr> <tr><td>Recording of each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>Filing submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify):</td><td></td></tr> <tr><td>Other fee (specify):</td><td></td></tr> </table>	FEE DESCRIPTION	Fee Paid	Surcharge late filing of fee or oath		Surcharge late provisional filing fee or cover sheet		Non-English specification		For filing a request for reexamination		Requesting publication or SIR prior to Examiner Action		Extension for reply within first month		Extension for reply within second month	\$390.00	Extension for reply within third month		Extension for reply within fourth month		Extension for reply within fifth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to institute a public use proceeding		Petition to revive - unavoidable		Petition to revive - unintentional		Utility issue fee (or reissue)		Design issue fee		Plant issue fee		Petitions to the Commissioner		Petitions related to provisional applications		Submissions of Information Disclosure Statement		Recording of each patent assignment per property (times number of properties)		Filing submission after final rejection (37 CFR 1.129(a))		For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify):		Other fee (specify):	
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SUBMITTED BY	
 Joseph A. Sawyer, Jr., Reg. No. 30,801 Attorney for Applicant(s)	8/28/01 Date

PTO/SB/17 (2/98)